Banking Supervision Training Course

Professional Development Training Course Outline

Category	Banking Institute	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

The global financial ecosystem is undergoing an unprecedented regulatory recalibration, marked by macroeconomic fragmentation, systemic digital acceleration, and a profound shift toward outcomes-based, risk-focused supervision. Traditional supervisory parameters are being radically reshaped by structural forces, including the meteoric rise of private credit markets, volatile non-bank financial institution (NBFI) interdependencies, and severe geopolitical shocks. Banking Supervision Training Course is strategically engineered to empower modern regulators with the sharp analytical capabilities, macroprudential tools, and technical competencies required to maintain safety, soundness, and systemic financial stability in a highly disruptive economic landscape.

Simultaneously, the rapid institutionalization of Artificial Intelligence (AI) governance, distributed ledger technology (DLT), asset tokenization, and strict operational resilience mandates requires a new breed of supervisory oversight. Supervisors can no longer rely solely on legacy IT frameworks or historical, backward-looking accounting data; they must proactively audit algorithmic explainability, benchmark data quality against BCBS 239 standards, and evaluate rigorous Thematic Reverse Stress Testing models. This intensive, master-level training program bridges advanced theoretical prudential principles with cutting-edge supervisory technologies (SupTech), providing an immersive environment where participants master risk-based capital optimization, climate-related financial risk integration, and macroprudential stress testing. By aligning technical compliance metrics with high-level strategic risk management, this course equips modern supervisors to preemptively detect structural vulnerabilities, handle stressed exit validations, and lead impactful supervisory dialogues that mitigate cross-border contagion.

Programme Curriculum

Banking Supervision Training Course

Introduction

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Course Duration

5 days

Course Objectives

By the conclusion of this intensive training program, participants will be expertly equipped to:

1. Evaluate and audit capital adequacy frameworks, risk-weighted assets (RWA) rebasing exercises, and leverage ratios amidst asymmetric global implementations.
2. Transition effectively from checklist compliance to dynamic, outcomes-based risk supervision targeting material financial risks and underlying safety and soundness.
3. Identify, map, and supervise complex counterparty credit risk (CCR) and interconnected exposures across private capital, hedge funds, and private credit markets.
4. Audit bank testing capabilities, impact tolerances, and stressed exit plans for critical business services in compliance with evolving global resilience standards.
5. Evaluate banks' algorithmic governance frameworks, model risk management (MRM) practices, and lifecycle transparency for AI-driven credit and trading systems.
6. Implement supervisory guidelines into the Supervisory Review and Evaluation Process and Internal Capital Adequacy Assessment Process
7. Design and analyze complex, multi-variable macroeconomic stress scenarios and **Thematic Reverse Stress Tests** to uncover structural banking vulnerabilities.
8. Benchmark bank reporting architectures against **BCBS 239** principles to ensure the timeliness, accuracy, and completeness of regulatory data streams.
9. Formulate and execute proportionate prudential regimes for stablecoins, tokenized market infrastructures, and central bank digital currency (CBDC) settlement environments.
10. Assess concentration risk, sub-outsourcing dependencies, and independent bank validation protocols across critical cloud and technology service providers.

11. Adapt supervisory protocols to prioritize risk-based effectiveness over rigid technical compliance in alignment with modernized regulatory frameworks.
12. Evaluate discount window borrowing capacity integration, capital conservation buffers, and dynamic credit-to-GDP gaps to manage cross-border financial shocks.
13. Master the application of graduated deficiency follow-ups, Pillar 2 Guidance (P2G) adjustments, and Joint Supervisory Team (JST) remediation actions.

Target Audience

1. Senior Banking Supervisors & On-Site Inspectors
2. Central Bank Prudential Policy Architects
3. Risk Management & Compliance Directors
4. Financial Stability & Macroprudential Analysts.
5. Model Risk Specialists & Quantitative Auditing Experts
6. Operational Resilience & Cybersecurity Officers
7. Legal Counsel & Regulatory Compliance Advisors
8. Digital Finance & FinTech Policy Regulators

Course Modules

Module 1: The New Frontier of Risk-Focused Banking Supervision

- Deep dive into the shift from process-driven check-box compliance to outcomes-based risk supervision.
- Deconstructing the architecture of the **Supervisory Review and Evaluation Process (SREP)** and **Pillar 2 Guidance (P2G)** under volatile macroeconomic environments.
- Practical strategies for tailoring exam scopes to reduce regulatory burden while sharpening focus on material financial health metrics.
- Methods for initiating early, meaningful supervisory dialogues and establishing transparent remediation milestones with bank boards.
- Establishing internal regulatory cultures that emphasize proactive risk discovery over retrospective documentation reviews.
- **Module 1 Case Study:** *The 2026 Regulatory Reset Transformation*

Module 2: Advanced Capital Adequacy & Basel III Endgame Implementation

- Deconstructing asymmetric global implementations of the final Basel III package
- Auditing the standardized approach for measuring credit risk, operational risk frameworks, and Credit Valuation Adjustment (CVA) risks.
- Supervising **Risk-Weighted Assets (RWA) rebasing exercises** and evaluating internal ratings-based (IRB) variations across peer institutions.
- Evaluating tactical and structural portfolio optimizations, dynamic securitization structures, and risk-transfer tools employed by commercial banks.
- Analyzing the interaction between the Community Bank Leverage Ratio and enhanced prudential standards for Tier-1 mega-banks.
- **Module 2 Case Study:** *The RWA Optimization Audit Challenge*

Module 3: Systemic Liquidity Management, Stress Testing & Macroprudential Policy

- Analyzing systemic liquidity frameworks under accelerated deposit run conditions driven by digital banking infrastructure.

- Evaluating the **Liquidity Coverage Ratio (LCR)**, **Net Stable Funding Ratio (NSFR)**, and the integration of discount window borrowing capacity into liquidity metrics.
- Designing multi-variable macroeconomic stress testing parameters and constructing extreme but plausible **Thematic Reverse Stress Test** scenarios.
- Auditing bank assumptions regarding non-maturity deposit stability, wholesale funding vulnerabilities, and liquid asset monetization timeframes.
- Deploying countercyclical capital buffers, systemic risk overlays, and cross-border contagion mitigation toolkits.
- **Module 3 Case Study:** *The 300-Basis-Point Shock Simulation*

Module 4: Counterparty Credit Risk (CCR) & Non-Bank Financial Interdependencies

- Mapping systemic linkages between commercial banking networks and the shadow banking sector
- Auditing intra-day counterparty credit risk exposures, leverage structures, and collateral verification models in wholesale electronic market makers.
- Enhancing disclosure requirements and client due diligence frameworks for private equity and private capital counterparties.
- Supervising significant risk transfers (SRT) and tracking off-balance-sheet exposures that conceal structural vulnerabilities.
- Mitigating concentration risk in complex derivatives markets, securitized debt structures, and private lending syndicates.
- **Module 4 Case Study:** *The Private Capital Shadow Contagion*

Module 5: Operational Resilience, Cybersecurity & Third-Party Vendor Risk

- Implementing operational resilience frameworks that require banks to establish clear impact tolerances for critical business services.
- Auditing bank testing capabilities against sophisticated ransomware campaigns, geopolitical cyber warfare, and infrastructure failures.
- Evaluating concentration risk within the critical supply chain, focusing on major cloud infrastructure providers and systemic FinTech utilities.
- Reviewing and validating bank contingency plans, contractual exit strategies, and stressed exit protocols for outsourced services.
- Deploying specialized cyber-security frameworks to benchmark institutional recovery time objectives (RTO).
- **Module 5 Case Study:** *The Cloud Monopolist Systemic Outage*

Module 6: Governance of Artificial Intelligence, Advanced Tech & Data Risk

- Auditing bank compliance against emerging AI regulations, focusing on algorithmic explainability, model governance, and ethical biases.
- Reviewing **Model Risk Management (MRM)** implementations for AI-driven credit underwriting, automated fraud detection, and algorithmic trading.
- Benchmarking bank data governance, data lineages, and data quality architectures against strict**BCBS 239** compliance standards.
- Identifying data risk root causes that lead to flawed stress testing models and inaccurate regulatory reporting submissions.
- Mitigating the operational and security risks associated with legacy IT system integration during rapid advanced technology deployment.
- **Module 6 Case Study:** *The Black-Box Credit Discrimination Crisis*

Module 7: Digital Assets, Tokenization, and Financial Infrastructure Innovation

- Regulating asset tokenization, distributed ledger technology (DLT) applications, and smart contracts within traditional capital mobilization frameworks.
- Structuring and enforcing licensing frameworks for stablecoins and digital assets under newly enacted legislation
- Evaluating the integration of commercial banks into tokenized central bank money settlement roadmaps
- Assessing the competitive, liquidity, and operational resilience threats that neobanks and decentralized finance protocols pose to traditional entities.
- Managing regulatory sandboxes and tokenized market infrastructure pilots without compromising overall market safety and soundness.
- **Module 7 Case Study:** *The Stablecoin De-Pegging Event.*

Module 8: Modernized BSA/AML Risk Effectiveness & Financial Crime Supervision

- Transitioning BSA/AML supervisory paradigms from rigid technical compliance checklists to risk-based program effectiveness models.
- Auditing automated transaction monitoring architectures, entity resolution systems, and cross-border payment tracking mechanisms.
- Evaluating bank risk prioritization frameworks to ensure resources are focused on high-risk jurisdictions, shell corporations, and illicit networks.
- Assessing financial crime risks within trade financing activities, open finance structures, and cross-border digital banking channels.
- Conducting effective multi-agency investigations and executing coordinated enforcement actions, fines, and operational cease-and-desist orders.
- **Module 8 Case Study:** *The Trade-Finance Laundering Syndicate*

Training Methodology

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org **or call** *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
21 Sep 2026	25 Sep 2026	Online	$1,000
21 Sep 2026	25 Sep 2026	Physical	$1,500
21 Sep 2026	25 Sep 2026	Physical	$0
21 Sep 2026	25 Sep 2026	Physical	$0
21 Sep 2026	25 Sep 2026	Physical	$0
21 Sep 2026	25 Sep 2026	Physical	$0
21 Sep 2026	25 Sep 2026	Physical	$0
21 Sep 2026	25 Sep 2026	Physical	$0

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